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# PENTAtainer USER MANUAL

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# Table of Contents

<b>1. INTRODUCTION OF PENTATRAINER.....</b>	<b>2</b>
1.1. CORE CONCEPTS: PENTA MODEL .....	2
1.2. FEATURES OF PENTATRAINER (XU & PROM-ON, 2014) .....	2
<b>2. DATA PREPARATION AND ANNOTATION.....</b>	<b>3</b>
2.1. SYSTEM REQUIREMENTS .....	3
2.2. REQUIRED MATERIALS .....	3
2.3. ANNOTATION .....	4
2.4. INTRODUCTION ON OUTPUT AUXILIARY FILES .....	8
<b>3. LEARNING PARAMETERS .....</b>	<b>9</b>
3.1. LEARNING PARAMETERS .....	9
3.2. OUTPUT FILES .....	10
<b>4. SYNTHESIS .....</b>	<b>11</b>
4.1. REQUIRED INPUT FILES .....	11
4.2. SPEAKER-DEPENDENT SYNTHESIS WITH LEARNED PARAMETERS.....	11
4.3. SPEAKER-INDEPENDENT SYNTHESIS WITH LEARNED PARAMETERS .....	13
<b>5. REFERENCES.....</b>	<b>14</b>

# 1. Introduction

PENTAtainer is a semi-automatic software package for modelling speech prosody, integrating a Praat script and Java programs to achieve computational modelling. It implements the workings of the **PENTA (Parallel Encoding and Target Approximation) Model** and quantitative Target Approximation (qTA) model, and is developed by Yi Xu (University College London) and Santitham Prom-on (King Mongkut University of Technology Thonburi).

In PENTA, communicative functions representing intended communicative meanings such as lexical, focal, sentential, phrasal, emotional are transmitted in parallel with different encoding schemes and then uniquely contribute to target specification. As a result, it is transformed into the dynamic changes in surface  $f_0$  values and timings of various  $f_0$  events (Prom-on & Xu, 2016).

With PENTAtainer, users can specify the functions to be encoded by creating tiers and labels flexibly according to research topics and goals.

## 1.1. Core concepts: PENTA Model (Xu, 2005)

- $F_0$ -to-meaning mapping by parallel encoding
- $F_0$  contour generated through target approximation (Xu & Wang, 2001)

## 1.2. Features of PENTAtainer (quoted from Xu & Prom-on, 2014)

- High synthetic accuracy of prosody
- Many-to-one mapping from variable surface  $F_0$  to invariant underlying targets
- Effectively handles both contextual and non-contextual variability
- Combines deterministic synthesis and data-driven parameter learning
- Large-scale and full-detailed prosody synthesis as tool for theory testing

## 2. Data Preparation and Annotation

### 2.1. System requirements

- Operating Systems: Mac OS X, Windows, or Linux
- Praat version: 5.3 or newer
- Java Runtime Environment: Version 1.6 or newer
- *(Optional)* Audio Editing Program (e.g., Audacity, Adobe Audition etc.)

### 2.2. Required materials

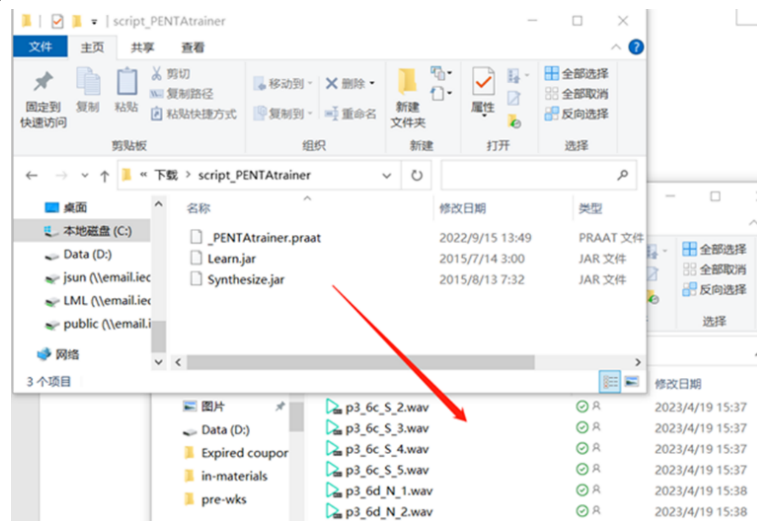
All materials stated below should be placed into the same working folder.

#### 1) Speech data

Split long audio files into individual utterances and save them as .wav files, with Praat or other audio editing software. It is recommended because this simplifies annotation and reduces memory usage of the learning program.

#### 2) Praat script & Java programmes

Copy the Praat script (**PENTAtainer.praat**) and the Java programs (**Learn.jar** & **Synthesize.jar**) into the same working folder.



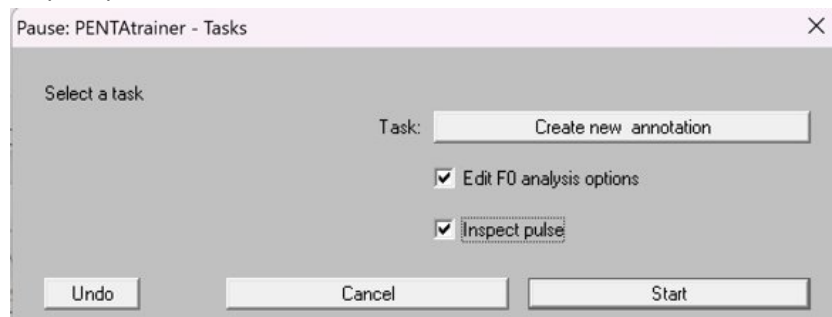
## 2.3. Annotation

If annotation files (\*.annotation) and pulse marking files (\*.pulse) are prepared with other Praat scripts (e.g. ProsodyPro), they should also be placed in the same working folder before learning parameters. Please jump to section 2.3.3.

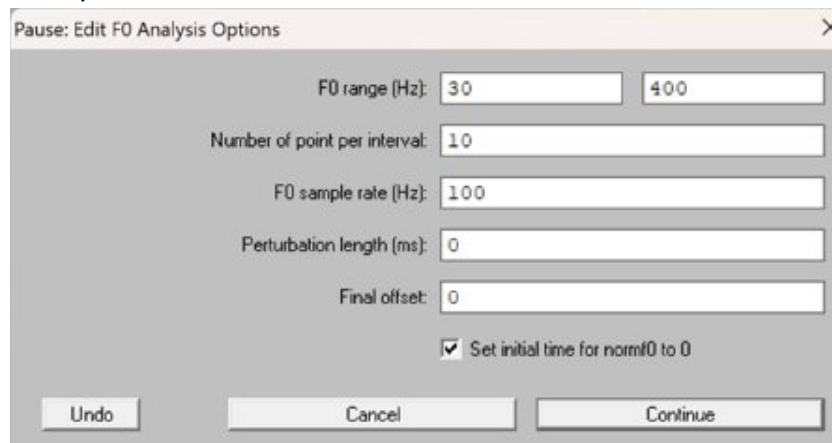
### 2.3.1. Create new annotation

Apart from using PENTAtainer built-in annotation tool, users can use other means to generate functional annotations from existing TextGrid files. **LabelEditor.exe** is one tool that automates this process. Please refer to the 'Downloads' section on the website for a tutorial of **LabelEditor.exe**.

- 1) Open PENTAtainer.praat and choose the task 'Create new annotation'. Check both 'Edit F<sub>0</sub> analysis options' and 'Inspect pulse' boxes. Click 'Start' to continue.

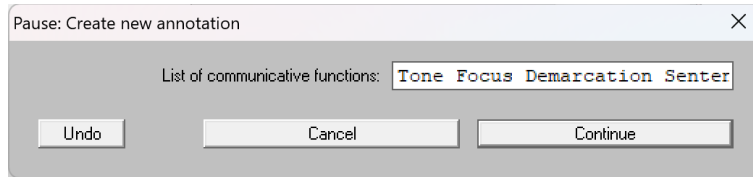


- 2) Edit the F<sub>0</sub> analysis parameters used in the F<sub>0</sub> estimation process in the 'Pause: Edit F<sub>0</sub> Analysis Options' window if necessary.



- a) **F<sub>0</sub> range (Hz)**: the range of F<sub>0</sub> values to be searched.
- b) **Number of points per interval**: the number of F<sub>0</sub> points in each annotated interval in .timenormf0.
- c) **F<sub>0</sub> sample rate**: the sampling rate used for F<sub>0</sub> estimation.
- d) **Perturbation length, Final offset, Set initial time for normf0 to 0**: no changes are needed.

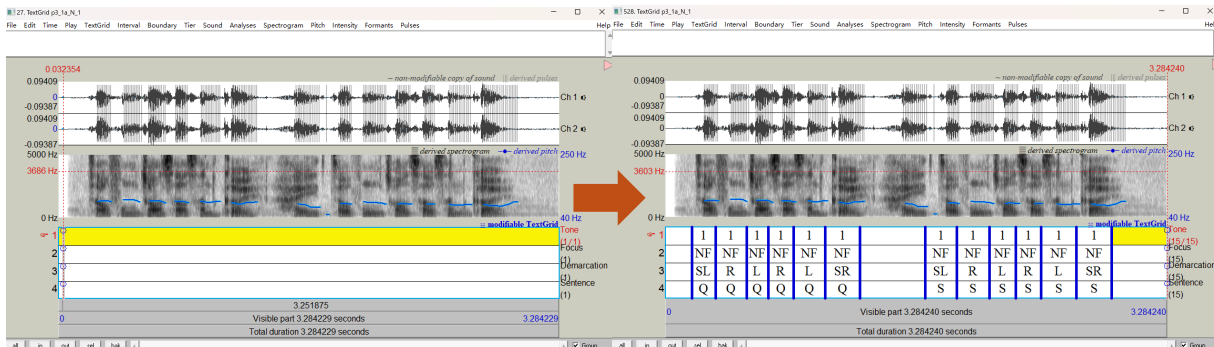
- 3) Specify the functional tiers in 'List of communicative functions'. Each function should be separated by a space. The choice of communicative functions should reflect the design of the production experiment (e.g. Focus for a data set of focus prosody). Users can consider this a hypothesis-testing process – compare the synthesis accuracy of a model with a given communicative function and one without.



- 4) Two windows will prompt for each .wav file. Users can annotate the files and rectify vocal pulses in respective window.

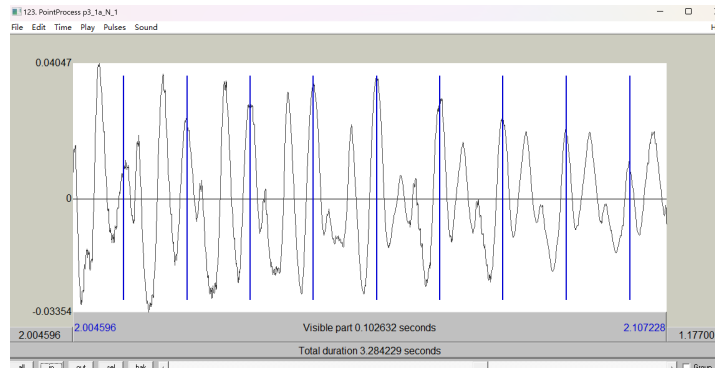
a) Annotation window

Users need to insert the boundaries for each prosodic event in the annotation window below. However, there is a limitation. There must be boundaries in each layer, and the leftmost and rightmost boundaries in all other layers should align with those in the layer with the most intervals. Note, again, that this process can be automated – users can generate functional annotations from pre-existing TextGrid files.



b) Pulse marking window

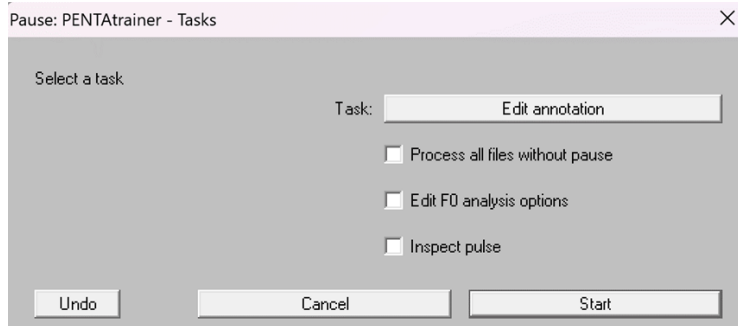
Initial markings could be incorrect. To guarantee accurate F<sub>0</sub> calculation, users should manually examine and modify the locations of pulse marks in the window below. Note that the pulse marking window will only show when the 'Inspect pulse' box is checked.



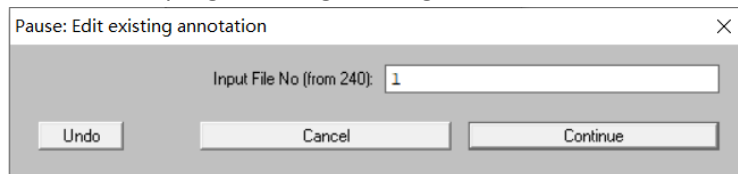
5) Repeat step 4) until annotations of all sound files are done.

### 2.3.2. Edit existing annotation

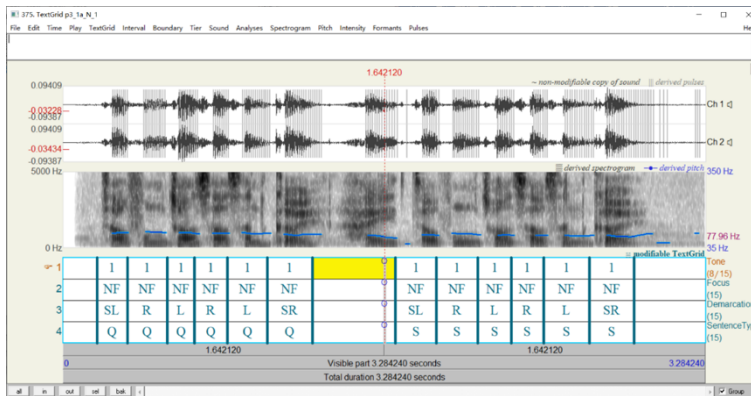
1) To view or edit existing annotation, select 'Edit annotation' and click 'Start'.



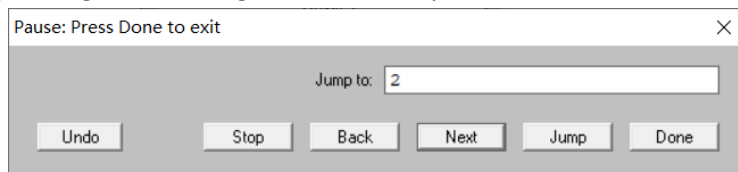
2) Users can enter the starting file number in the 'Pause: Edit existing annotation' window. File number can be identified from the row number of the 'FileList.txt' by opening the text file with a spreadsheet program. If users enter '1', the program will go through all annotation files. Click 'Continue' to proceed.



3) The program will then show the annotation window with data from the existing annotation files.



4) Once completing editing or reviewing, click 'Next' to proceed to the next file.



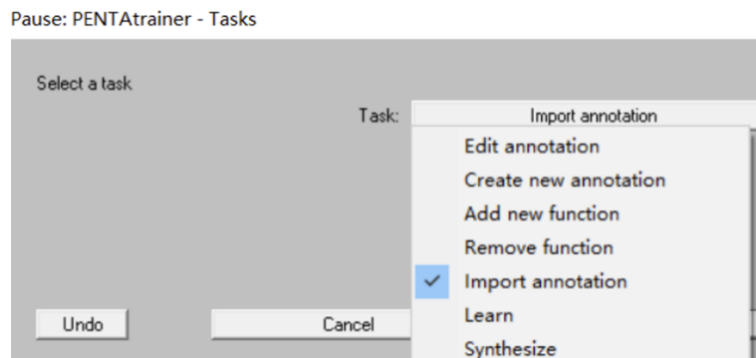
- Repeat steps 3) and 4) until all annotation files are reviewed or edited. If users wish to stop in the middle of reviewing or editing, users can close all PENTAtainer windows.

✧ **Tip**

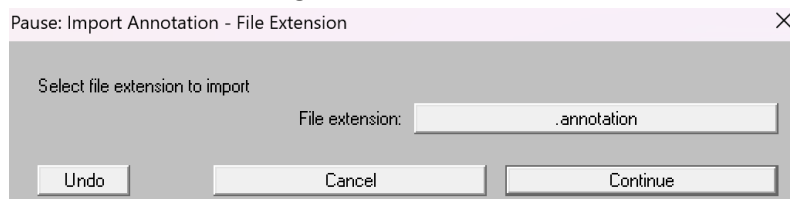
If the annotation process is terminated before all annotation files are processed, some Praat objects may remain in the 'Praat Objects' window. Users should remove these objects before running other scripts to ensure correct object referencing.

### 2.3.3. Import existing annotation

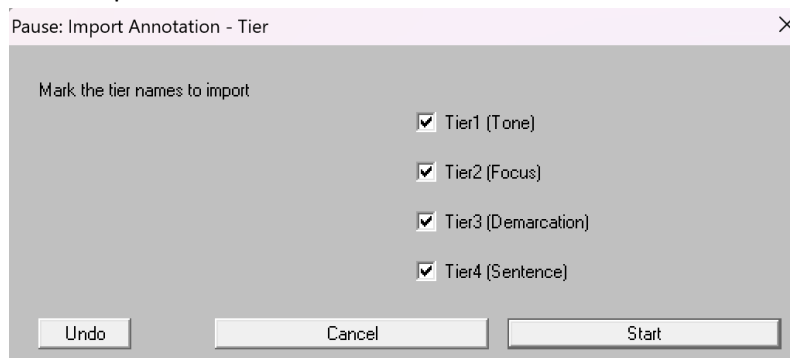
- If users have existing '.annotation' and '.pulse' files before running PENTAtainer, they should be placed in the same working folder together with the .wav files. Users can then open the PENTAtainer script, and select the 'Import annotation' task.



- Choose the file extension of the existing annotation files. Then, click 'Continue'.



- Select the tiers to be imported. Click 'Start' and all the annotation files will be converted.





## 2.4. Introduction on Output Auxiliary Files

1. **FileList.txt**: A headerless, single-column table generated at the beginning of the annotation process. Each row represents a sound filename used as input in the process.
2. **config.txt** (required for parameter learning): A list of parameters to be passed along the the annotation process, formatted as a two-column table with the headers of 'parameter' and 'value'. It is generated upon completing the annotation process.
3. **function.txt** (required for parameter learning): A list of communicative functions presented as a single-column table. Each row represents a function. This file is generated at the end of the annotation process.
4. **\*.annotation** (required for parameter learning): Annotation data in the Praat TextGrid format.
5. **\*.annotation\_short**: More user-friendly annotation file in Praat TextGrid format.
6. **\*.pulse**: A Praat PointProcess object containing pulse markings for  $F_0$  estimation.
7. **\*.rawf0**:  $F_0$  data converted from corresponding '.pulse' files.
8. **\*.PitchTier**: Trimmed  $F_0$  data in Praat PitchTier format.
9. **\*.f0**: Trimmed  $F_0$  data, directly converted from the corresponding '.PitchTier' files.
10. **\*.samplef0**:  $F_0$  data, sampled only for non-empty intervals.
11. **\*.f0velocity**:  $F_0$  velocity data, sampled only for non-empty intervals.
12. **\*.timenormf0**: Timeless  $F_0$  data normalized to have an equal number of samples per interval.
13. **\*.actutimenormf0** (required for parameter learning):  $F_0$  and actual time values sampled and normalized to a specified number of samples per interval. It is a three-column table, with each row corresponds to a  $F_0$  data point. The first column corresponds to the annotation intervals of the first layer, while the second and third columns represent the time and  $F_0$  data respectively. In the learning process, PENTATrainer uses data in this file to estimate parameters.

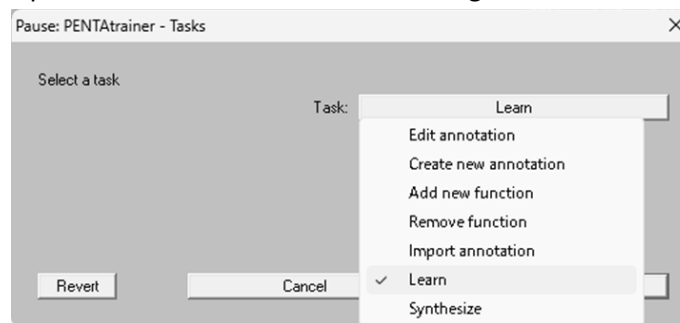
Note: File #4 to #13 is regenerated in each annotation process.

## 3. Learning parameters

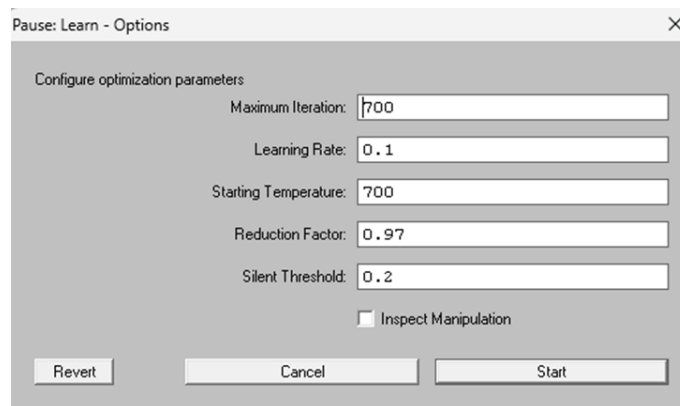
This chapter serves as a comprehensive guide on how to use the PENTATrainer learning tool for estimating model parameters of annotated communicative functions. The roles of model parameters in the target approximation process and the parameter learning algorithm used in PENTATrainer are then discussed.

### 3.1. Learning Parameters

- 1) "Open PENTATrainer.praat and select 'Learn' in the starting window.

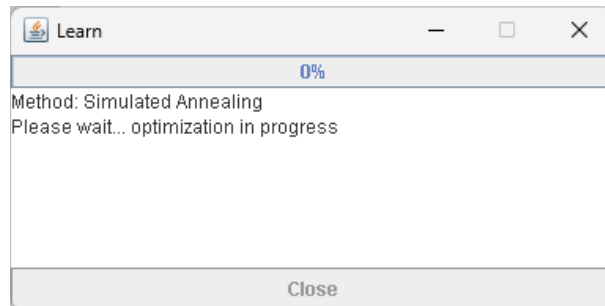


- 2) Users can configure optimization parameters. Depending on sample size, this step can take anywhere from a few hours.

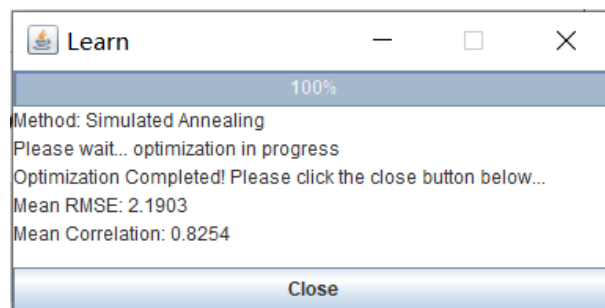


- a) **Maximum Iteration:** Optimal value needs to be empirically determined. It should be large enough to allow parameter convergence.
- b) **Learning Rate:** Optimal value needs to be empirically determined. It is the scaling factor for parameter adjustment and the value should be small enough not to miss the right solution.
- c) **Reducing Factor:** scaling factor of the annealing temperature for each iteration
- d) **Inspect Manipulation:** This allows users to visually inspect and listen to the synthesized sounds. To activate this feature, users should check the 'Inspect Manipulation' box. After the learning process is complete, Praat manipulation objects of the sound files will be generated. By clicking 'View & Edit', users are able to inspect the synthesized  $F_0$  contours.

- 3) Press 'Start' to begin the learning process. Do not close the progress window until the progress bar reaches **100%**. If the progress window does not display properly, users should check whether Java is properly installed.



- 4) After the learning process is complete, the progress window will then display the resulting average per-utterance Root Mean Square Error (RMSE) and Pearson's correlation between the original and the synthesized  $F_0$  contours, providing users with a measure of how closely the synthesized contour matches the original.



### 3.2. Output Files

1. **parameters.txt**: target approximation parameters of each functional combination. This file is produced once the optimization process concludes.
2. **\*.synf0**: both original and synthesized  $F_0$  data along with corresponding time data. It allows users to visually inspect the  $F_0$  contours. It is regenerated during every learning process.
3. **\*.intervals**: a Praat Table derived from the '.annotation' files. It is utilised by the Java program during the optimization process, and it is regenerated in each learning cycle.
4. **accuracy\_learning.txt**: the synthesis accuracy of each utterance. Accuracy is measured using two metrics: Root Mean Square Error (RMSE) and Pearson's correlation coefficient.
5. **total\_error.txt**: total RMSE from all sound files in each iteration. It is used for fine-tuning the optimization process.
6. **log.txt**: A file that logs the initial occurrence of each functional combination. It allows users to check for errors. If an error occurred, it will be displayed as a separate category.

## 4. Synthesis

This chapter explains how to use PENTAtainer to synthesize speech prosody with the learned parameters.

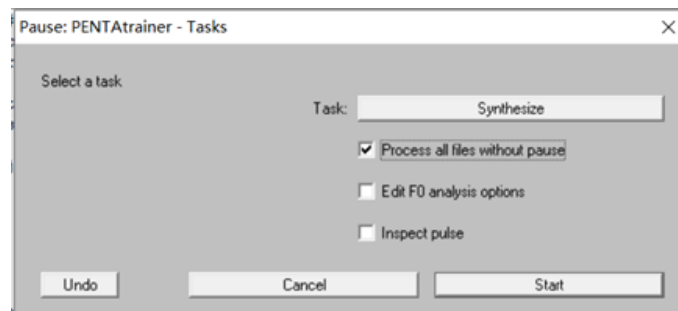
### 4.1. Required Input Files

- 1) **parameters.txt**: target approximation parameters for all function combinations that have been trained. This data, along with the annotation data, is used to generate the F0 contour.
- 2) **\*.wav**: original speech utterances. The PENTAtainer synthesis tool utilizes the Praat manipulation object from this original sound file as a basis and incorporates the synthesized F0 contour into it.
- 3) **\*.annotation**: annotation data in Praat TextGrid format.
- 4) **\*.intervals**: annotation data in Praat Table format.
- 5) **config.txt**: the dataset configuration parameters.
- 6) **function.txt**: a list of communicative functions.

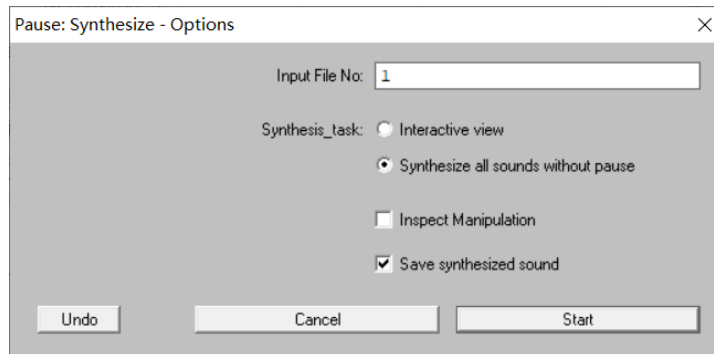
### 4.2. Speaker-dependent Synthesis with Learned Parameters

The Synthesize task employs parameters from the parameters.txt file and associated annotation files to generate the synthesized F<sub>0</sub> contour and incorporates it into the Praat manipulation object.

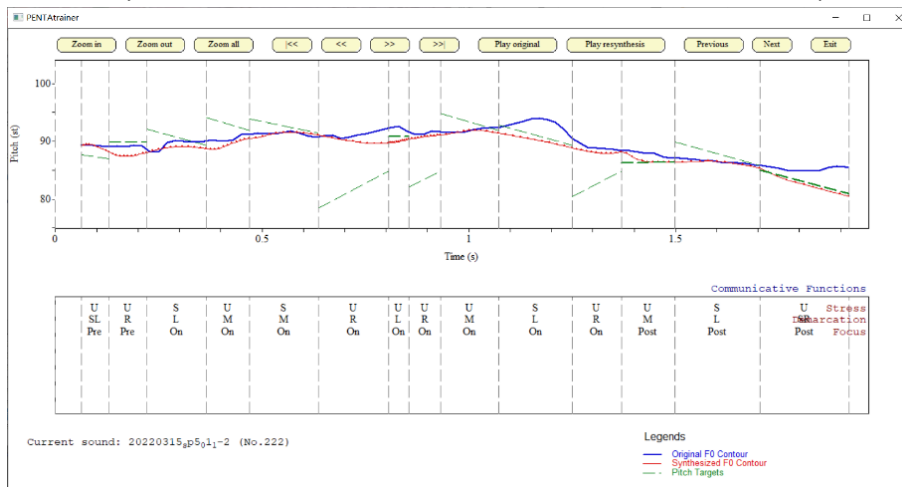
- 1) Open PENTAtainer.praat. Select 'Synthesize'. Check 'Process all files without pause' and click 'Start'.



- Specify where to save the synthesized sounds (in .wav format) by checking 'Save synthesized sound'. If users want to inspect each synthesized  $F_0$  contour visually and audibly while synthesizing, 'Interactive view' should be selected.



- By checking 'Interactive view', an interactive Synthesis window will open. Communicative functions are listed below the  $F_0$  contours. Users can navigate through the speech corpus with 'Previous' and 'Next' buttons in the top control panel. Using the 'Play original' and 'Play synthesis' buttons, users can play original and resynthesized sounds. Zoom and arrow buttons allow close-ups of contours.



- After synthesizing all sounds, the 'accuracy\_synthesis.txt' file will be generated, storing the utterance-specific RMSE and correlation of synthesized contours.

accuracy\_synthesis.txt - 记事本

文件(F) 编辑(E) 格式(O) 查看(V) 帮助(H)

Filename	RMSE	Correlation
p5_1a_N_1	2.7929607811214967	0.638525366267348
p5_1a_N_2	4.105690115755347	0.3600836151950268
p5_1a_N_3	2.866549944337829	0.7588584891802763
p5_1a_N_4	3.0140622873652583	0.8326892581304599
p5_1a_N_5	3.2588568267514657	0.6375538757724447
p5_1a_S_1	3.522902619155213	0.30704813622518656
p5_1a_S_2	3.048955852203236	0.4570197661373629
p5_1a_S_3	3.803408739517176	0.6461516806364942
p5_1a_S_4	3.7360206454054903	0.6636857665942569

- Synthesized  $F_0$  data of the sound files is stored in the .synthesizedf0 file in the working folder.

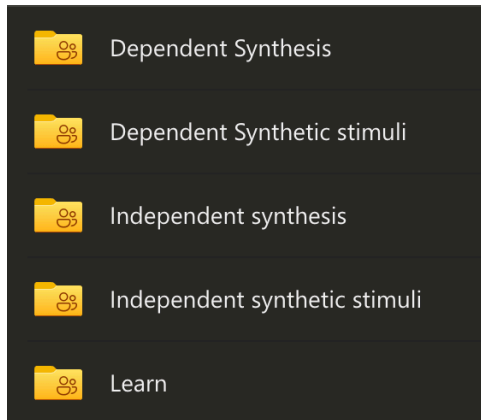
### 4.3. Speaker-independent Synthesis with Learned Parameters

After performing speaker-dependent synthesis, it is possible to run speaker-independent synthesis (Jackknife procedure) as follows:

- 1) To prepare the parameters.txt file for a given speaker, parameters learned from all other speakers are needed. For example, there are 10 speakers and the 'Learn' task is performed 5 times for each of them. To generate parameter values for speaker 1, 45 sets of parameter values from the **other 9** speakers should be averaged.

Speaker	Tone	Demarcatic	Focus	m	b	r
p1	1	SL	NF	=AVERAGEIFS(F:F,\$A:\$A,"<p1", \$C:\$C,\$K3,\$D:\$D,\$L3,\$E:\$E,\$M3)		
p1	1	R	NF	-2.3984444	-0.406	26.0868889
p1	1	L	NF	-0.874	-1.2104444	9.9608889
p1	1	SR	NF	8.79177778	0.09488889	23.5088889

- 2) Repeat the required input files as mentioned in section 5.1.
- 3) Copy and paste the averaged parameter values to the corresponding the parameters.txt file.
- 4) Run PENTAtainer.praat and repeat the steps in section 5.2.
- 5) By running all tasks mentioned above, there will be five types of output files.



## 5. References

Xu, Y. and Prom-on, S. (2014) "Toward invariant functional representations of variable surface fundamental frequency contours: Synthesizing speech melody via model-based stochastic learning", *Speech Communication*, 57:181-208.

Prom-on, S. and Xu, Y. (2016) *PENTAtainer User Manual* (version 1.1).

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